

Introductory sub-table (I)(a)

Name of the Company: UNIVERSAL PRIME ALUMINIUM LIMITED			
Scrip Code, Name of the scrip, class of security: BSE - 504673, EQUITY			
Quarter ended: 31st December, 2012			
Partly paid-up shares:-			
	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities:-			
	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Warrants:-			
	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			



Statement Showing Shareholding Pattern

Code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
			(IV)	(V)	(VI)	(VII)	(VIII)	(VIII)/(IV)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(VIII)/(IV)
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	5	1654195	1654195	20.76	20.76	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	7	2166783	2166553	27.20	27.20	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	12	3820978	3820748	47.96	47.96	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of	12	3820978	3820748	47.96	47.96	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	2	123800	119200	1.55	1.55	0	0.00
(b)	Financial Institutions / Banks	2	2594	1944	0.03	0.03	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00



	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(1)	4	126394	121144	1.59	1.59	0	0.00
B 2	Non-institutions							
(a)	Bodies Corporate	92	273261	92887	3.43	3.43	0	0.00
(b)	Individuals				0.00	0.00		
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	28640	3128378	878988	39.26	39.26	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	13	615343	591150	7.72	7.72	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(d)	Any Other (specify)				0.00	0.00		
(e-i)	Non Resident Individual	9	3089	1089	0.04	0.04	0	0.00
	Sub-Total (B)(2)	28754	4020071	1564114	50.45	50.45		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	28758	4146465	1685258	52.04	52.04		
	TOTAL (A)+(B)	28770	7967443	5506006	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group					0.00		0.00
2	Public					0.00		
	Sub-Total (C)	0	0	0		0	0	
	GRAND TOTAL (A)+(B)+(C)	28770	7967443	5506006	100.00	100.00	0	0.00
Sr.No	Notes							
1								
2								



Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

A. Individual/Hindu Undivided Family

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	PRAKASH KUMAR MOHTA	1472402	18.48	0	0.00	0.00	0	0	0	0	18.4804
2	MAITREYI KANDOI	99629	1.25	0	0.00	0.00	0	0	0	0	1.2505
3	JAYANTIKA MOHTA	82164	1.03	0	0.00	0.00	0	0	0	0	1.0312
	TOTAL	1654195	20.76	0	0.00	0.00	0	0	0	0	20.7621

B. Bodies Corporate

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	Pratibha Manufacturing & Marketing Ltd.	944963	11.86	0	0	0	0	0	0	0	11.86
2	Pee Bee Steel Industries Limited	718645	9.02	0	0	0	0	0	0	0	9.02
3	Avadh Merchantile Company Ltd.	321483	4.04	0	0	0	0	0	0	0	4.04
4	Universal Autocrafts Pvt. Ltd.	96228	1.21	0	0	0	0	0	0	0	1.21
5	Universal Enterprises Ltd.	67340	0.85	0	0	0	0	0	0	0	0.85
6	Unique Manufacturing & Marketing Ltd.	18124	0.23	0	0	0	0	0	0	0	0.23
	TOTAL	2166783	27.20	0	0.00	0	0	0	0	0	27.21



(I)(c)(i) Statement showing holding of securities (including shares, warrants, co category "Public" and holding more than 1% of the total number of sha

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Detail:
				Number of warrants held
1	PRATIBHA KHAITAN	175271	2.20	0
2	CANARA ROBECO MUTUAL FUND A/C GAD	119200	1.50	0
3	P. P. PACKAGING PRIVATE LIMITED	106760	1.34	0
4	HITESH RAMJI JAVERI	100101	1.26	0
	i) Jt-1: Radhabai Ramji Javeri			
	ii) Jt -2: Harsha Hitesh Javeri			
5	BHUPENDRA V GANDHI	99131	1.24	0
	Jh-1 SAROJ B GANDHI			
TOTAL		600463	7.54	0



(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares(including underlying shares assuming full conversion of warrants and convertible
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NOT APPLICABLE	0	0.00	0	0	0	0	0
2			0.00		#DIV/0!		#DIV/0!	
TOTAL		0	0.00	0	#DIV/0!	0	#DIV/0!	0

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NOT APPLICABLE	0	0.00
2			0.00
TOTAL		0	0.00



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NOT APPLICABLE	0	0	0.00
TOTAL		0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NOT APPLICABLE		0	0.00
2				0.00
TOTAL			0	0.00

