

Name of the Company: **UNIVERSAL PRIME ALUMINIUM LIMITED**

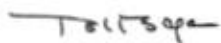
Quarter ending on : **30th September, 2011.**

Particulars	Clause of the Listing Agreement	Compliance Status Yes/No	Remarks
I Board of Directors	49 I		
(A) Composition of Board	49 (IA)	Yes	-
(B) Non-executive Directors' compensation & disclosures	49 (IB)	N.A.	-
(C) Other provisions as to Board and Committees	49 (IC)	Yes	-
(D) Code of Conduct	49 (ID)	Yes	-
II. Audit Committee	49 (II)		-
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	-
(B) Meeting of Audit Committee	49 (IIB)	Yes	-
(C) Powers of Audit Committee	49 (IIC)	Yes	-
(D) Role of Audit Committee	49 (IID)	Yes	-
(E) Review of Information by Audit Committee	49 (IIE)	Yes	-
III. Subsidiary Companies	49 (III)	N.A.	-
IV. Disclosures	49 (IV)		
(A) Basis of related party transactions	49 (IVA)	Yes	-
(B) Disclosure of Accounting Treatment	49 (IVB)	N.A.	-
(C) Board Disclosures	49 (IVC)	Yes	
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IVD)	N.A.	-
(E) Remuneration of Directors	49 (IVE)	Yes	-
(F) Management	49 (IVF)	-	Management Discussion and Analysis Report is annexed to the Annual Report for the Financial

			Year 2010-2011.
(G) Shareholders	49(IVG)	Yes	Necessary information have been provided to the Shareholders in the event of appointment or re-appointment of Directors.

(V) CEO/CFO Certification	49 (V)	-	Disclosed in the Annual Report for the Financial Year 2010-11 shall be complied for the Financial Year 2011-12.
VI. Report on Corporate Governance	49 (VI)	-	Corporate Governance Report as on 31st March, 2011 was submitted to the members along with the Annual Report of the financial year 2010-11. Further Quarterly Compliance Reports are regularly submitted to the Stock Exchanges wherever the shares of the Company are listed.
VII. Compliance	49 (VII)	-	Compliance Certificate for the financial year ended 31st March 2011 is annexed to the Annual Report for the Financial Year 2010-11 shall also comply with the Current Financial Year 2011-12.

For Universal Prime Aluminium Limited



B.L. Bagaria
Compliance officer