

Name of the Company: **UNIVERSAL PRIME ALUMINIUM LIMITED**  
 Quarter ending on : 31<sup>st</sup> March, 2012.

Particulars	Clause of the Listing Agreement	Compliance Status Yes/No	Remarks
<b>I. Board of Directors</b>	49 I		
(A) Composition of Board	49 (IA)	Yes	-
(B) Non-executive Directors' compensation & disclosures	49 (IB)	N.A.	-
(C) Other provisions as to Board and Committees	49 (IC)	Yes	-
(D) Code of Conduct	49 (ID)	Yes	-
<b>II. Audit Committee</b>	49 (II)		-
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	-
(B) Meeting of Audit Committee	49 (IIB)	Yes	-
(C) Powers of Audit Committee	49 (IIC)	Yes	-
(D) Role of Audit Committee	49 (IID)	Yes	-
(E) Review of Information by Audit Committee	49 (IIE)	Yes	-
<b>III. Subsidiary Companies</b>	49 (III)	N.A.	-
<b>IV. Disclosures</b>	49 (IV)		
(A) Basis of related party transactions	49 (IVA)	Yes	-
(B) Disclosure of Accounting Treatment	49 (IVB)	Yes	-
(C) Board Disclosures	49 (IVC)	Yes	
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IVD)	N.A.	-
(E) Remuneration of Directors	49 (IVE)	Yes	-
(F) Management	49 (IVF)	Yes	Management Discussion and Analysis Report will be annexed to the Annual Report for the Financial Year 2011-2012.
(G) Shareholders	49(IVG)	Yes	Necessary information have been provided to the Shareholders in the event

			of appointment or re-appointment of Directors from time to time.
(V) CEO/CFO Certification	49 (V)	Yes	Disclosed in the Annual Report for the Financial Year 2010-11 shall be complied for the Financial Year 2011-12.
VI. Report on Corporate Governance	49 (VI)	Yes	Corporate Governance Report as on 31st March, 2011 was submitted to the members along with the Annual Report of the financial year 2010-11. Further Quarterly Compliance Reports are regularly submitted to the Stock Exchanges wherever the shares of the Company are listed.
VII. Compliance	49 (VII)	Yes	Compliance Certificate for the financial year ended 31st March 2011 is annexed to the Annual Report for the Financial Year 2010-11 shall also comply with the Current Financial Year 2011-12.

For Universal Prime Aluminium Limited



B.L. Bagaria  
Compliance officer