

Name of the Company: **Universal Prime Aluminum Limited**  
 Quarter ending on : **30<sup>th</sup> June, 2012**

Particulars	Clause of the Listing Agreement	Compliance Status Yes/No	Remarks
<b>I Board of Directors</b>	49 I		-
(A) Composition of Board	49 (IA)	Yes	-
(B) Non-executive Directors' compensation & disclosures	49 (IB)	N.A.	-
(C) Other provisions as to Board and Committees	49 (IC)	Yes	-
(D) Code of Conduct	49 (ID)	Yes	-
<b>II. Audit Committee</b>	49 (II)		-
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	-
(B) Meeting of Audit Committee	49 (IIB)	Yes	-
(C) Powers of Audit Committee	49 (IIC)	Yes	-
(D) Role of Audit Committee	49 (IID)	Yes	-
(E) Review of Information by Audit Committee	49 (IIE)	Yes	-
<b>III. Subsidiary Companies</b>	49 (III)	N.A.	-
<b>IV. Disclosures</b>	49 (IV)		
(A) Basis of related party transactions	49 (IVA)	Yes	Disclosed in the Annual Report for the Year 2011-2012.
(B) Disclosure of Accounting Treatment	49 (IVB)	N. A.	-
(C) Board Disclosures	49 (IVC)	Yes	Will be complied with in the next Annual Report
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IVD)	Yes	-
(E) Remuneration of Directors	49 (IVE)	Yes	Will be complied with at the Annual General Meeting.
(F) Management	49 (IVF)	Yes	Management Discussion and Analysis Report is annexed to the Annual Report for the Financial Year 2011-2012.



(G) Shareholders	49(IVG)	Yes	Necessary information has been provided to the Shareholders in the event of appointment or re-appointment of Directors.
V. CEO/CFO Certification	49 (V)	Yes	Disclosed in the Annual Report for the Financial Year 2011-12.
VI. Report on Corporate Governance	49 (VI)	Yes	Corporate Governance Report as on 31st March, 2012 is annexed to the Annual Report for the Financial Year 2011-12.
VII. Compliance	49 (VII)	Yes	Compliance Certificate for the financial year ended 31st March 2012 is annexed to the Annual Report for the Financial Year 2011-12.

For Universal Prime Aluminum Limited

13/12/12  
**B.L. Bagaria**  
 Compliance officer

