

Name of the Company: **UNIVERSAL PRIME ALUMINIUM LIMITED**

Quarter ending on : **31<sup>st</sup> December, 2012.**

Particulars	Clause of the Listing Agreement	Compliance Status Yes/No	Remarks
<b>I Board of Directors</b>	49 I		
(A) Composition of Board	49 (IA)	Yes	-
(B) Non-executive Directors' compensation & disclosures	49 (IB)	N.A.	-
(C) Other provisions as to Board and Committees	49 (IC)	Yes	-
(D) Code of Conduct	49 (ID)	Yes	-
<b>II. Audit Committee</b>	49 (II)		-
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	-
(B) Meeting of Audit Committee	49 (IIB)	Yes	-
(C) Powers of Audit Committee	49 (IIC)	Yes	-
(D) Role of Audit Committee	49 (IID)	Yes	-
(E) Review of Information by Audit Committee	49 (IIE)	Yes	-
<b>III. Subsidiary Companies</b>	49 (III)	N.A.	-
<b>IV. Disclosures</b>	49 (IV)		
(A) Basis of related party transactions	49 (IVA)	Yes	-
(B) Disclosure of Accounting Treatment	49 (IVB)	N.A.	-
(C) Board Disclosures	49 (IVC)	Yes	
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IVD)	N.A.	-
(E) Remuneration of Directors	49 (IVE)	Yes	-
(F) Management	49 (IVF)	-	Management Discussion and Analysis Report is annexed to the Annual Report for the Financial Year 2011-2012.
(G) Shareholders	49(IVG)	Yes	Necessary information have been provided to the Shareholders in the

			event of appointment or re-appointment of Directors.
<b>(V) CEO/CFO Certification</b>	49 (V)	Yes	Disclosed in the Annual Report for the Financial Year 2011-12 and shall be complied for the Financial Year 2012-13.
<b>VI. Report on Corporate Governance</b>	49 (VI)	Yes	Corporate Governance Report as on 31st March, 2012 was submitted to the members along with the Annual Report of the financial year 2011-12. Further Quarterly Compliance Reports are regularly submitted to the Stock Exchanges.
<b>VII. Compliance</b>	49 (VII)	Yes	Compliance Certificate for the financial year ended 31st March 2012 is annexed to the Annual Report for the Financial Year 2011-12 and shall also comply with the Current Financial Year 2012-13.

For UNIVERSAL PRIME ALUMINIUM LIMITED

B. L. Bagaria

**B.L. BAGARIA**  
**COMPLIANCE OFFICER**