



UNIVERSAL PRIME ALUMINIUM LIMITED

(FORMERLY : UNIVERSAL CANS AND CONTAINERS LTD.)

Office : CENTURY BHAVAN, 771, Dr ANNIE BESANT ROAD, WORLI, MUMBAI - 400 030.
Phone : 022 - 24307437 / 24304198 ★ Fax : 022 - 2437 0434
E mail : upalbby@gmail.com

October 09, 2014

To
The Corporate Relationship Department
Bombay Stock Exchange Limited
P.J. Towers, 1st Floor,
Dalal Street,
Mumbai - 400 001

Dear Sirs,

Ref.: Quarterly report on compliance of Corporate Governance

With reference to above and in compliance with the requirements of the listing agreement, please find enclosed herewith report on compliance of the corporate governance requirements for the quarter ended 30th September, 2014.

For Universal Prime Aluminium Limited

1311340
B.L. Bagaria
Compliance officer

Encl.: as above





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Name of the Company: UNIVERSAL PRIME ALUMINIUM LIMITED

Quarter ending on : 30th September, 2014

Particulars	Clause of the Listing Agreement	Compliance Status Yes/No	Remarks
I. Board of Directors	49 I		
(A) Composition of Board	49 (IA)	Yes	Mr. Basant Kumar Daga and Mr. Sampat Kumar Somani was appointed as Independent Director for a period of five years
(B) Non-executive Directors' compensation & disclosures	49 (IB)	N.A.	-
(C) Other provisions as to Board and Committees	49 (IC)	Yes	-
(D) Code of Conduct	49 (ID)	Yes	-
II. Audit Committee	49 (II)		-
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	-
(B) Meeting of Audit Committee	49 (IIB)	Yes	-
(C) Powers of Audit Committee	49 (IIC)	Yes	-
(D) Role of Audit Committee	49 (IID)	Yes	-



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(E) Review of Information by Audit Committee	49 (IIE)	Yes	-
III. Subsidiary Companies	49 (III)	N.A.	-
IV. Disclosures	49 (IV)		
(A) Basis of related party transactions	49 (IVA)	Yes	-
(B) Disclosure of Accounting Treatment	49 (IVB)	N.A.	-
(C) Board Disclosures	49 (IVC)	Yes	
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IVD)	N.A.	-
(E) Remuneration of Directors	49 (IVE)	Yes	-
(F) Management	49 (IVF)	-	
(G) Shareholders	49 (IVG)	Yes	Necessary information has been provided to the Shareholders in the event of appointment or re-appointment of Directors.
(V) CEO/CFO Certification	49 (V)	Yes	Disclosed in the Annual Report for the Financial Year 2013-14.
VI. Report on Corporate Governance	49 (VI)	Yes	Corporate Governance Report as on 31 st March, 2014 was annexed to the Annual Report for the Financial Year 2013-14.
VII. Compliance	49 (VII)	Yes	Compliance Certificate for the financial year ended

