



# UNIVERSAL PRIME ALUMINIUM LIMITED

(FORMERLY : UNIVERSAL CANS AND CONTAINERS LTD.)

Office : CENTURY BHAVAN, 771, Dr. ANNIE BESANT ROAD, WORLI, MUMBAI - 400 030.  
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Name of the Company: **UNIVERSAL PRIME ALUMINIUM LIMITED**  
 Quarter ending on : **30<sup>th</sup> September, 2013.**

Particulars	Clause of the Listing Agreement	Compliance Status Yes/No	Remarks
<b>I Board of Directors</b>	49 I		
(A) Composition of Board	49 (IA)	Yes	-
(B) Non-executive Directors' compensation & disclosures	49 (IB)	N.A.	-
(C) Other provisions as to Board and Committees	49 (IC)	Yes	-
(D) Code of Conduct	49 (ID)	Yes	-
<b>II. Audit Committee</b>	49 (II)		-
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	-
(B) Meeting of Audit Committee	49 (IIB)	Yes	-
(C) Powers of Audit Committee	49 (IIC)	Yes	-
(D) Role of Audit Committee	49 (IID)	Yes	-
(E) Review of Information by Audit Committee	49 (IIE)	Yes	-
<b>III. Subsidiary Companies</b>	49 (III)	N.A.	-
<b>IV. Disclosures</b>	49 (IV)		
(A) Basis of related party transactions	49 (IVA)	Yes	-
(B) Disclosure of Accounting Treatment	49 (IVB)	N.A.	-
(C) Board Disclosures	49 (IVC)	Yes	-
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IVD)	N.A.	-
(E) Remuneration of Directors	49 (IVE)	Yes	-
(F) Management	49 (IVF)	Yes	Management Discussion and Analysis Report was annexed to the Annual Report for the Financial Year 2012-2013.
(G) Shareholders	49(IVG)	Yes	In the event of appointment or

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			re-appointment of Directors, the shareholders are provided required details.
<b>(V) CEO/CFO Certification</b>	49 (V)	Yes	Disclosed in the Annual Report for the Financial Year 2012-13 and shall be complied for the Financial Year 2013-14.
<b>VI. Report on Corporate Governance</b>	49 (VI)	Yes	Corporate Governance Report for the year ended 31st March, 2013 was annexed with the Annual Report for the FY 2012-13. Further Quarterly Compliance Reports are regularly submitted to the Stock Exchanges.  Report on Corporate Governance for the F.Y. 2013-14 will be furnished in the Annual Report of FY 2013-14.
<b>VII. Compliance</b>	49 (VII)	Yes	Compliance Certificate for the financial year ended 31st March 2013 was annexed to the Annual Report for the F.Y. 2012-13 and necessary Certificate for the FY 2013-14 will be furnished in the Annual Report of F.Y. 2013-14.

For **UNIVERSAL PRIME ALUMINIUM LIMITED**

*B.L. Bagaria*

**B.L. BAGARIA  
COMPLIANCE OFFICER**